

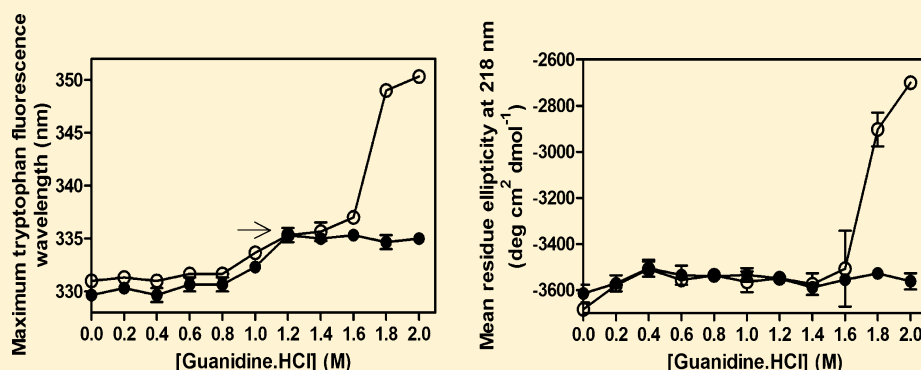
# Partial Unfolding of a Monoclonal Antibody: Role of a Single Domain in Driving Protein Aggregation

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**ABSTRACT:** We have examined the effect of incubating a monoclonal antibody (mAb) in low (0–2.0 M) concentrations of guanidine hydrochloride (GdnHCl) on the protein's conformation and aggregation during isothermal incubation. In GdnHCl solutions at concentrations from 1.2 to 1.6 M, the mAb was partially unfolded. As demonstrated by fluorescence and circular dichroism spectroscopy, the partially unfolded state of the antibody had perturbed tertiary structure but retained native secondary structure. Furthermore, partial unfolding of the antibody was documented by analytical ultracentrifugation, dynamic light scattering, and limited proteolysis. Subsequent aggregation of the antibody was characterized using size-exclusion chromatography, analytical ultracentrifugation, and dynamic light scattering. Over the entire concentration range (0–2.0 M) of GdnHCl, protein–protein interactions were attractive, as quantified by negative osmotic second virial coefficients measured with static light scattering. However, during isothermal incubation at 37 °C, the aggregation of the antibody was detected only in solutions that induced partial unfolding. Differential scanning calorimetry studies showed that the antibody's C<sub>H</sub>2 domains were unfolded in antibody molecules that had been incubated in 1.2 M and higher concentrations of GdnHCl. These results suggest that unfolding of the C<sub>H</sub>2 domains leads to aggregation.

Protein aggregation is implicated in numerous diseases such as Alzheimer's disease, Parkinson's disease, and systemic amyloidosis.<sup>1–5</sup> Control of protein aggregation is also important for the development of therapeutic protein products.<sup>6,7</sup> During development of protein drugs, aggregation may be encountered during all phases of processing such as purification, shipping, storage, and even during administration to patients.<sup>8–12</sup> There is great concern about aggregates in therapeutic products, because administration of protein aggregates into patients may cause adverse reactions such as unwanted immune response and anaphylactic shock.<sup>11,12</sup>

Thus, it is critical that aggregate levels in therapeutic protein products are controlled and minimized. In turn, it is important to understand the mechanisms for protein aggregation and to develop strategies to reduce this form of degradation. Key in these efforts is identifying the species in a population of protein molecules that are prone to react to form aggregates.

Early studies led to the proposal that fully unfolded protein molecules react to form aggregates.<sup>13–15</sup> However, in several

more recent studies, it was observed that aggregates can form from partially unfolded protein molecules<sup>6,16–24</sup> that have perturbed tertiary structure but native-like secondary structure.<sup>18</sup> In addition, some studies have documented that these partially unfolded species are constituents of the native-state ensemble of substates.<sup>25</sup>

Therefore, even under conditions that thermodynamically favor the native state, protein aggregates can form. Furthermore, the aggregation-prone protein molecules are typically at extremely low levels<sup>26,27</sup> so that even modest increases in the absolute concentrations of these reactive species can substantially increase aggregation rates. For example, earlier studies on therapeutic proteins have shown how changes in solution pH<sup>16</sup> or addition of antimicrobial preservative such as benzyl alcohol<sup>28–32</sup> can stimulate large

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increases in aggregation rate by causing relatively modest shifts in the protein population toward partially unfolded species.

Most studies showing that partial unfolding leads to aggregation have focused on relatively small, single-domain proteins like apomyoglobin,<sup>33</sup> RNase A,<sup>34</sup> and cytochrome c.<sup>28</sup> Aggregation of small therapeutic proteins like G-CSF<sup>32,35</sup> and rhIL-1ra<sup>30,31,36</sup> has also been shown to occur via partial unfolding.

Multidomain proteins may also aggregate as a result of unfolding of one or more domains. For example, Souillac et al. have shown that for an IgG1 molecule the observed aggregates were due to association of C<sub>H</sub>3 domains.<sup>37</sup> Several other studies on antibody molecules have shown that the C<sub>H</sub>2 domain is involved in the process of aggregation.<sup>38,39</sup> Other works carried out on multidomain proteins, which are not antibody molecules, have shown that the least stable domain of the molecule is involved in the aggregation process.<sup>40–42</sup> Oligomerization of multidomain proteins has also been shown to occur via domain swapping,<sup>43</sup> in which one protein molecule exchanges a domain with an identical molecule, forming an oligomer.

Physical instability of monoclonal antibodies (mAbs) is of great concern in the field of pharmaceutical biotechnology. Recently, it has been shown that exposure to low pH or high ionic strength can stimulate aggregation of mAbs by favoring formation of partially unfolded protein molecules.<sup>44–46</sup> Studies of antibody aggregation under such pharmaceutically relevant stresses also have tried to identify specific domains in the protein molecule that unfold and are responsible for aggregation. For instance, Kim et al. showed that F<sub>ab</sub> unfolding caused by low pH or high salt concentration led to aggregation of an IgG1 molecule.<sup>47</sup> On the other hand, Majumdar et al. showed that aggregation caused by high concentrations of salts was mediated by C<sub>H</sub>2 instability.<sup>38</sup>

Addition of chaotropes has long been used to perturb protein structure in order to study populations of partially and fully unfolded molecules.<sup>48–50</sup> Furthermore, some studies have shown that partially unfolded species populated in low concentrations of denaturant readily aggregate.<sup>51,52,53</sup> In contrast, it is commonly observed that proteins do not aggregate in solutions that contain denaturant concentrations that result in complete unfolding. For example, proteins purified from bacterial inclusion bodies are routinely unfolded and solubilized in concentrated GdnHCl<sup>54,55</sup> and do not aggregate until refolding is initiated by reducing the denaturant concentration.<sup>56,57</sup>

Protein aggregation also has been shown to be controlled by protein–protein interaction energetics, which is a function of protein conformation and solvents.<sup>6,40,58</sup> Static light scattering is a powerful tool to study protein–protein interactions in solution. Static light scattering can be used to investigate the osmotic second virial coefficient ( $B_{22}$ ), which is a measure of strength of net protein–protein interactions. A positive value of  $B_{22}$  indicates repulsive forces between protein molecules, whereas a negative value indicates attractive interactions.<sup>59,60</sup> Protein–protein interactions can be affected by solution conditions such as pH and ionic strength, sometimes independent of effects on conformational stability.<sup>6</sup>

In our study, we used various concentrations of GdnHCl to alter protein conformation in a model mAb such that the protein structure ranged from native to partially to fully unfolded. We found that at intermediate concentrations of GdnHCl only certain domains of the protein were perturbed,

whereas the overall secondary structure was not altered. Our hypothesis was that partially unfolded species of a mAb (formed at low concentrations of GdnHCl) would aggregate, whereas native, folded mAb or fully unfolded mAb molecules (found at high denaturant concentrations) would not. Furthermore, we measured protein–protein interaction energetics as a function of GdnHCl concentration to probe the importance of colloidal stability in denaturant-induced protein aggregation.

To test our hypothesis, we determined the equilibrium unfolding curve for the mAb. Furthermore, we used circular dichroism (CD), fluorescence spectroscopy, limited proteolysis, analytical ultracentrifugation, and differential scanning calorimetry to characterize the protein's structure and to identify the domains perturbed by GdnHCl. In addition, we used light scattering to determine protein–protein interaction energetics of the mAb in various concentrations of GdnHCl. Finally, protein aggregation was quantified with size-exclusion chromatography, dynamic light scattering, and analytical ultracentrifugation.

## ■ EXPERIMENTAL PROCEDURES

**Materials.** Purified mAb was provided by MedImmune (Gaithersburg, MD) in a lyophilized form. The lyophilized material was reconstituted with 2.2 mL of water for injection (WFI) to obtain 50 mg mL<sup>−1</sup> protein in 10 mM histidine, 6% trehalose, 2% arginine, and 0.025% PS80 at pH 6.0. USP grade reagents such as 2-(*N*-morpholino)ethanesulfonic acid (MES) and guanidine hydrochloride (GdnHCl) were purchased from Fisher Scientific (Fair Lawn, NJ). Unless otherwise indicated, deionized Milli-Q water was used to prepare all solutions. Lyophilization vials (3 mL) and caps were purchased from West Pharmaceutical (Lionville, PA). Cuvettes used for CD and fluorescence spectroscopy were purchased from Starna Cells (Atascadero, CA).

**Protein Sample Preparation.** The reconstituted protein concentration was determined using an Agilent 8540 spectrophotometer (Santa Clara, CA) with an extinction coefficient of 1.45 mL mg<sup>−1</sup> cm<sup>−1</sup> at 280 nm. The concentration of stock protein solution was estimated to be 50 mg mL<sup>−1</sup>. For experiments, the stock protein solution was diluted into different concentrations of GdnHCl to give the desired final denaturant concentration and a final protein concentration of 1 mg mL<sup>−1</sup>. A stock solution of 7.0 M GdnHCl in 10 mM MES (pH 6.0) was used. Stock GdnHCl concentration was determined using refractive index measurements.<sup>61</sup>

**Antibody Unfolding Curve.** Far-UV CD spectra were collected for protein equilibrated in different concentrations of GdnHCl using a Chirascan-plus spectrometer (Applied Photophysics, UK) and a 1 mm path-length cuvette. Protein at 1.0 mg mL<sup>−1</sup> was allowed to equilibrate in several concentrations of GdnHCl at 37 °C for 1 day. The CD signal at 218 nm was monitored as a function of GdnHCl concentration to obtain the unfolding curve. Triplicate samples were analyzed for each concentration of GdnHCl, and one scan per sample was collected.

**Fluorescence and Circular Dichroism Spectroscopy.** The mAb, at a concentration of 1 mg mL<sup>−1</sup>, was incubated in 0–2.0 M GdnHCl solutions at 0.2 M increments. Triplicate samples were incubated at 37 °C and were analyzed using fluorescence and CD spectroscopy on days 0, 1, 6, and 11 of incubation. For each sample, one spectrum was recorded.

Intrinsic tryptophan fluorescence spectra were acquired using Photon Technology International (PTI) spectrofluorometer (Lawrenceville, NJ). Tryptophan (Trp) excitation was carried out using a 295 nm wavelength, and emission spectra were collected from 300 to 400 nm with a 1 nm s<sup>-1</sup> data collection rate and 1 s integration time. The slit widths for Trp excitation and emission were 4 and 1 nm, respectively. The maximum tryptophan fluorescence peak position was obtained by calculating the first derivative of the emission spectrum. We also calculated the tryptophan fluorescence center of mass by integrating the emission spectrum.<sup>62</sup> Far-UV CD spectra were collected for incubated protein samples using a Chirascan-plus spectrometer (Applied Photophysics, UK) in 1 mm path-length cuvettes. For each time point of the incubation, the CD signal at 218 nm was plotted as a function of GdnHCl concentration.

**Static Light Scattering.** We used a Brookhaven light scattering system (Brookhaven Instruments Corporation, Holtsville, NY) to obtain static light scattering (SLS) measurements. Protein samples were prepared at concentrations ranging from 0.5 to 5.0 mg mL<sup>-1</sup> in various concentrations of GdnHCl, and the scattering intensity was measured at 90°. Triplicate samples were prepared for each condition, and scattering intensity was acquired for each sample replicate. All buffers were filtered using 0.02 µm Anotop 25 syringe filters (Whatman International Ltd.). The relationship used to determine *B*<sub>22</sub> is derived from the virial expansion of the ideal osmotic pressure equation<sup>63</sup>

$$\frac{Kc}{R_{90}} = \frac{1}{M} + 2B_{22}c \quad (1)$$

where *c* is the protein concentration (g mL<sup>-1</sup>), *K* is the optical density constant (mL mol g<sup>-2</sup> cm<sup>-1</sup>), *M* is the protein molecular weight (g mol<sup>-1</sup>), *R*<sub>90</sub> is the excess Rayleigh ratio at 90° (cm), and *B*<sub>22</sub> is the second osmotic virial coefficient (mL mol g<sup>-2</sup>). *B*<sub>22</sub> values determined from eq 1 were scaled by the theoretical value of the hard sphere (HS) second virial coefficient to obtain *b*<sub>2</sub><sup>\*</sup> = (*B*<sub>22</sub>/*B*<sub>22</sub><sup>HS</sup>) - 1, where *B*<sub>22</sub><sup>HS</sup> = (2/3)π*d*<sup>3</sup> and *d* is the effective HS diameter for a monomer.<sup>44</sup> As an estimate of the HS diameter, the hydrodynamic diameter (11.4 nm) was determined using dynamic light scattering (DLS) as described below.

**Size-Exclusion Chromatography.** On days 0, 1, 6 and 11, SEC analysis of triplicate mAb samples that had been incubated at 37 °C was performed to determine monomer and soluble aggregate levels. A Tosoh TSKgel G3000SWXL was used, and protein in the eluate was quantified using absorbance at 280 nm. Prior to loading the sample in a given concentration of GdnHCl, the column was equilibrated with one column volume of that particular concentration of GdnHCl in 0.1 M Na<sub>2</sub>SO<sub>4</sub>, 0.1 M Na<sub>2</sub>HPO<sub>4</sub>, pH 6.8, which was also used as the mobile phase at a flow rate of 1 mL min<sup>-1</sup>. Percent recoveries of soluble protein and percent soluble aggregates were calculated by normalizing against total peak area of chromatograms for day 0 samples. Only monomeric mAb was detected by SEC in day 0 samples.

**Dynamic Light Scattering To Determine mAb Hydrodynamic Diameter.** On days 0, 1, 6, and 11, DLS analysis of triplicate mAb samples that had been incubated at 37 °C was performed to determine the hydrodynamic diameter of the mAb using a Zetasizer Nano ZS (Malvern, UK). Hydrodynamic diameters were calculated from measured diffusion coefficients and values of solution viscosity using the Stokes–Einstein equation<sup>64</sup>

$$D = \frac{k_B T}{6\pi\eta r} \quad (2)$$

where *k*<sub>B</sub> is Boltzmann's constant, *T* is absolute temperature, *η* is viscosity of solvent containing GdnHCl, and *r* is the hydrodynamic radius. Cumulant analysis was performed for each sample. Viscosities as a function of GdnHCl were estimated using the public domain software program SEDNTERP.<sup>65</sup>

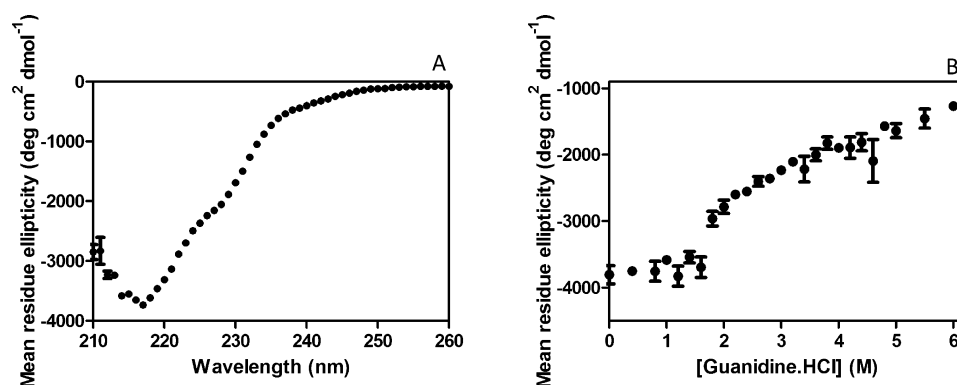
**Analytical Ultracentrifugation .** On days 0, 1, 6, and 11, AUC analysis of mAb samples that had been incubated at 37 °C was performed using the sedimentation velocity obtained with a Beckman XL-A analytical ultracentrifuge equipped with absorbance optics. Samples prepared in each concentration of GdnHCl were sedimented at 25 °C at rotor speed of 40 000 rpm, and data were collected at 294 nm. The raw data collected from sedimentation velocity experiments were analyzed using software program SEDFIT.<sup>65</sup> The meniscus position was allowed to vary as a fitted parameter, and the cell bottom position was fixed at 7.2 cm.<sup>66</sup> The frictional ratio (*f*/*f*<sub>0</sub> = 1.5) and mAb partial specific volume (*ν* = 0.727 L kg<sup>-1</sup>) suggested by Arthur et al. were used.<sup>66</sup> Buffer densities and viscosities for each concentrations of GdnHCl were calculated using the public domain software program SEDNTERP.<sup>65</sup> The sedimentation coefficient values of monomer were corrected to standard conditions of water at 20 °C using the formula<sup>65</sup>

$$s_{20,w} = s_{T,B} \left( \frac{\eta_{T,B}}{\eta_{20}} \right) \left( \frac{1 - \nu\rho_{20}}{1 - \nu\rho_{T,B}} \right) \quad (3)$$

where T and B denote the values at the temperature and under the buffer conditions of the experiment, respectively, and index 20,w indicates standard conditions.

**Ellman's Reagent Test and Quantification of Covalent Aggregates.** To ascertain if the mAb had any free cysteines that might play a role in aggregate formation, we checked for reactivity of Ellman's reagent (5,5'-dithiobis(2-nitrobenzoic acid) or DTNB) with mAb as a function of GdnHCl concentration. Briefly, 2 mM Ellman's reagent was added to 30 µM protein samples on days 0 and 1. Absorbance at 412 nm was measured using an Agilent 8540 spectrophotometer (Santa Clara, CA). The presence of covalent aggregates was quantified using a SDS-PAGE gel. For each time point, mAb samples incubated at 37 °C in 1, 1.2, 1.4, 1.6, 1.8, or 2 M GdnHCl were run on an SDS-PAGE gel. The intensity of each band corresponding to covalent aggregate was calculated using Quantity One software on a Bio-Rad Gel Doc XR+ instrument.

**Proteinase K Assay and SDS-PAGE.** In order to characterize partially unfolded protein species, a proteinase K assay<sup>67–69</sup> was conducted for mAb samples incubated in 0.6, 1.0, 1.2, 1.4, 1.6, 1.8, and 2 M GdnHCl. The supplier of the proteinase K has shown that the enzyme maintains activity in GdnHCl solutions at concentrations up to 3 M GdnHCl (5 PRIME, Gaithersburg, MD.). Proteinase K (20 ng in 5 µL) was added to 50 µL of a 1 mg mL<sup>-1</sup> solution of mAb. The samples were incubated at 37 °C for 1 h. After incubation, proteins in the samples were precipitated by addition of 12 µL of trichloroacetic acid (TCA). After 10 min of incubation on ice, the samples were centrifuged at 14 100g for 5 min. Supernatant was removed from the centrifuged sample, leaving the protein pellet intact. The pellet was washed with 200 µL of ice-cold acetone, and the tubes were centrifuged at 14 100g for 5 min. Pellets were washed 2X with ice-cold acetone and dried



**Figure 1.** (A) Far-UV CD spectrum for mAb at 1 mg mL<sup>-1</sup>. The CD signal at 218 nm was followed as a function of GdnHCl concentration to obtain the denaturation curve for the mAb. (B) Mean residue molar ellipticity at 218 nm as a function of GdnHCl concentration. Data points represent the mean  $\pm$  SD for triplicate samples. Error bars for certain data points are smaller than their associated symbols.

at 95 °C using a heat block for 5–10 min. The tubes were removed, and 40  $\mu$ L of 10% SDS and 10  $\mu$ L of 4 $\times$  loading dye were added to each sample pellet. The samples were boiled for 5 min at 95 °C using a heat block. The samples were centrifuged at 14 100g for 5 min before loading 15  $\mu$ L of each sample on an SDS-PAGE gel. As a control, nondigested mAb was subjected to the TCA precipitation protocol to observe the effect of TCA on protein. The SDS-PAGE gel was stained with Coomassie blue stain and washed prior to obtaining the gel image.

**Differential Scanning Calorimetry.** The stability and domain unfolding of mAb in various concentrations of GdnHCl was determined using a VP-capillary differential scanning calorimeter (MicroCal, Northampton, MA). Samples were mixed and held at room temperature, and DSC analysis was performed within 30 min of sample preparation. Protein samples at 1 mg mL<sup>-1</sup> were analyzed over a temperature range of 10–90 °C at a scan rate of 90 °C h<sup>-1</sup> with a 15 min pre-scan thermostating. For each GdnHCl concentration, a buffer baseline was obtained, and protein thermograms were obtained after subtracting the buffer baseline using Origin software (Originlab Corporation, Northampton, MA). For each concentration of GdnHCl tested, three vials of protein solution were prepared and analyzed.

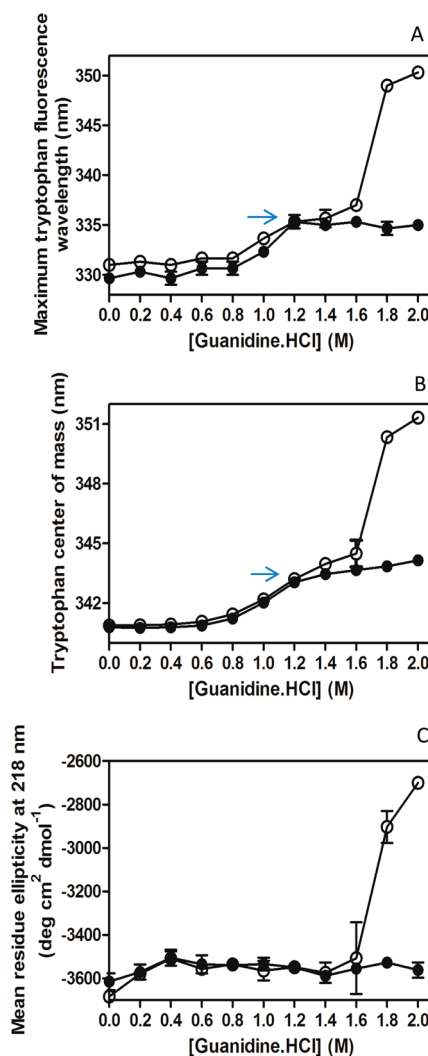
## RESULTS

### Far-UV CD Spectrum and Unfolding Curve for mAb.

To examine the mAb secondary structure, far-UV CD spectra (Figure 1A) for mAb at 1 mg mL<sup>-1</sup> were obtained. A minimum was observed at 218 nm, likely dominated by contributions from  $\beta$ -sheet structure.<sup>70,71</sup> Mean residue ellipticity at 218 nm was plotted as a function of GdnHCl concentration (Figure 1B), which showed an onset of protein unfolding at 1.8 M GdnHCl.

**Spectroscopic Characterization of Partially Unfolded Protein Species.** To obtain insight into protein conformation prior to aggregation, intrinsic tryptophan (Trp) fluorescence and CD spectra were obtained on the day of preparation (day 0) and after 24 h of incubation at 37 °C.

The wavelengths for maximum tryptophan fluorescence ( $\lambda_{\max}$ ) were plotted as a function of GdnHCl concentration (Figure 2A). It is important to note that this mAb contains 22 Trp residues and thus the Trp fluorescence reflects an average over all fluorescing Trp residues. In the absence of GdnHCl,  $\lambda_{\max}$  for the native mAb occurred around 330 nm. This



**Figure 2.** (A) Maximum tryptophan fluorescence wavelength for the mAb on day 0 (closed circles) and day 1 (open circles). (B) Tryptophan center of mass for mAb on day 0 (closed circles) and day 1 (open circles). (C) CD signal observed for the mAb on day 0 (closed circles) and day 1 (open circles). Data points represent the mean  $\pm$  SD for triplicate samples. Error bars for certain data points are smaller than their associated symbols.

indicates that most of the fluorescing tryptophans are buried in the hydrophobic interior of the protein.<sup>72</sup> In solutions



containing 0–0.8 M GdnHCl,  $\lambda_{\max}$  did not shift appreciably, but it increased by ca. 5 nm at GdnHCl concentrations ranging from 1.2 to 1.6 M, indicating a moderate perturbation in the mAb tertiary structure. At day 0,  $\lambda_{\max}$  was around 335 nm for samples containing 1.2–2.0 M GdnHCl. On day 1,  $\lambda_{\max}$  values measured in samples containing 0–1.6 M GdnHCl were very similar to those observed on day 0, but  $\lambda_{\max}$  increased to 350 nm at GdnHCl concentrations of 1.8 and 2.0 M. This increase in  $\lambda_{\max}$  reflects an average increase in the exposure of fluorescing Trp residues to an aqueous environment and is thus indicative of a major perturbation of tertiary structure.<sup>17</sup>

We also calculated the tryptophan fluorescence center of mass for the same set of samples (Figure 2B). The trend observed was similar to that for the maximum tryptophan fluorescence wavelengths plotted in Figure 2A. However, because of the asymmetrical peak shape, this analysis resulted in an apparent 10 nm red shift in the reported peak position.<sup>73</sup>

In an attempt to obtain further insights into changes in tertiary structure, near-UV-CD spectroscopy was also used to analyze the same set of samples. However, unlike fluorescence spectra, near-UV-CD spectra did not show changes (data not shown) indicative of tertiary structure perturbations until GdnHCl was at concentrations at which there were also secondary structure alterations.

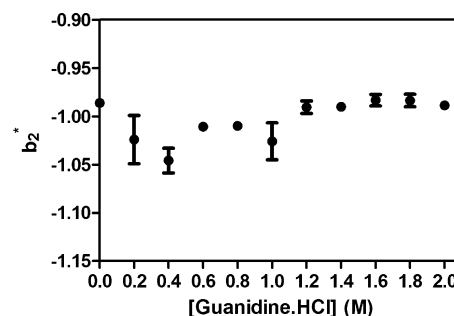
To study secondary structure, mean residue ellipticities at 218 nm determined from far-UV-CD spectra were plotted as a function of GdnHCl (Figure 2C). On days 0 and 1, only a small decrease in signal intensity was observed as up to 0.4 M GdnHCl was added to mAb samples, with no further intensity changes in day 0 samples with up to 2.0 M GdnHCl. In contrast, after a day of incubation, there was a dramatic decrease in intensity at 1.8 and 2.0 M GdnHCl (Figure 2C), indicating perturbation of the mAb secondary structure.

On days 0 and 1, tertiary structure of the mAb was perturbed in the presence of 1.2, 1.4, and 1.6 M GdnHCl (Figure 2A), but secondary structure appeared to be largely unaffected (Figure 2C). However, in 1.8 and 2.0 M GdnHCl on day 1, concomitant large perturbations of both tertiary and secondary structure were observed. It is important to note that protein aggregation was not observed for any of the samples on days 0 and 1 (see below). Thus, the spectroscopic results reflect alteration in protein structure prior to any aggregation.

**Protein–Protein Interactions.**  $B_{22}$  values normalized by the hard sphere value for the mAb are shown as a function of GdnHCl in Figure 3. For protein incubated in 0–2.0 M, the normalized  $B_{22}$  values are negative, indicating that the protein–protein interactions are attractive in these concentration ranges.<sup>44</sup>

**Characterization of Soluble Aggregates. Size-Exclusion Chromatography.** mAb samples incubated in 0–2.0 M GdnHCl at 37 °C over a period of 11 days were analyzed for soluble protein and high-molecular-weight species by SEC (Figure 4). There were no significant losses of soluble protein during the incubation period (Figure 4A). No soluble aggregates were observed by SEC on days 0 and 1 (Figure 4B). After 6 days of incubation at 37 °C, high-molecular-weight species were observed in samples containing 1.2, 1.4, 1.6, and 1.8 M GdnHCl (Figure 4B), with additional increases seen by day 11. In contrast, no aggregates were detected for mAb samples in 0–1.0 and 2.0 M GdnHCl, even after 11 days of incubation.

**Dynamic Light Scattering.** To further characterize the soluble aggregates, DLS was used to measure mAb hydro-



**Figure 3.** Second osmotic virial coefficient,  $B_{22}$ , normalized by the  $B_{22}$  value for a hard sphere ( $B_{22}/B_{22}^{HS} - 1$ ) gives value of  $b_2^*$  as a function of GdnHCl for mAb. Data points represent the mean  $\pm$  SD for triplicate samples. Error bars for certain data points are smaller than their associated symbols.

dynamic diameters in samples after incubation at 37 °C (Figure 5). On day 0, before aggregates were detected by SEC, the hydrodynamic diameter of mAb in 0–2.0 M GdnHCl was ca. 11 nm. On day 1, the average hydrodynamic diameter increased to ca. 15 nm in solutions containing 1.4 M and greater concentrations of GdnHCl. This increase may have resulted from unfolding of the mAb and/or formation of small amount of aggregates. Consistent with results from SEC analysis that showed formation of soluble aggregates, after 6 days of incubation the measured hydrodynamic diameter increased dramatically in samples incubated in 1.2–2.0 M GdnHCl, with a maximum of about 28 nm observed in samples incubated in 1.4 and 1.6 M GdnHCl. A similar trend was observed on day 11.

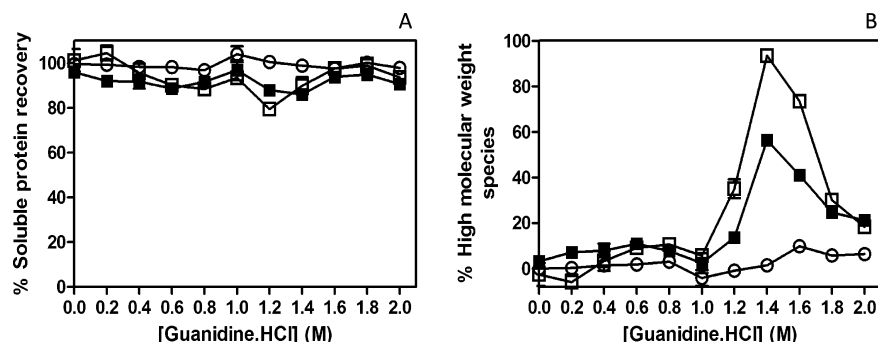
**Sedimentation Velocity Analytical Ultracentrifugation.** mAb samples incubated in 0–2.0 M GdnHCl were analyzed by AUC to determine the levels of high-molecular-weight species (Figure 6). The AUC results showed trends identical to those observed with SEC and DLS.

**Far-UV CD Spectroscopy of Incubated mAb Samples.** Far-UV CD spectroscopy was used to analyze changes in the secondary structure of mAb upon formation of soluble aggregates in incubated samples (Figure 7). After 6 and 11 days, mean residue ellipticity measured at 218 nm became more negative for samples incubated in 1.4 and 1.6 M GdnHCl, reflecting the characteristic intermolecular  $\beta$ -sheet structure that is typical of protein aggregates.<sup>74</sup> In samples incubated in 1.8 and 2.0 M GdnHCl, mAb unfolding occurred, as evidenced by less negative ellipticity values.

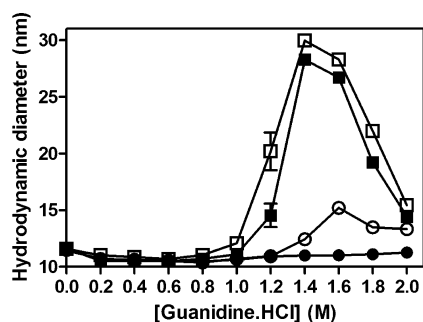
**Percent Covalent Aggregates by SDS-PAGE Analysis.** The total percentage of protein that formed covalent aggregates was quantified by SDS-PAGE analysis (Figure 8). Protein in 1.4 M and greater concentrations of GdnHCl showed covalent aggregates. However, these covalent aggregates were only a fraction of the total amount of aggregates observed for these samples (Figures 4, 6, and 8).

We also tested for the presence of free cysteines in the mAb using Ellman's reagent.<sup>75</sup> The Ellman's reagent test did not show the presence of free thiols (data not shown); we suggest that covalent aggregates were formed from disulfide shuffling within existing aggregates.

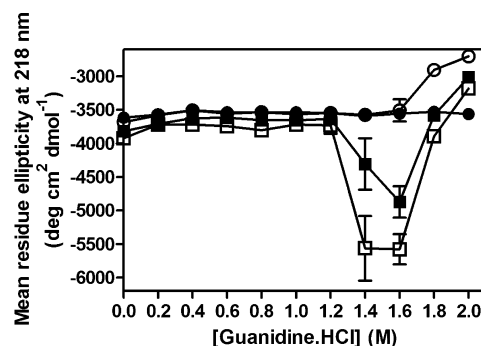
**Proteinase K Assay.** Proteinase K assay was used to determine proteolytic digestion pattern for mAb in various concentrations of GdnHCl on days 0 and 1 (Figure 9). The band at ~50 kDa corresponds to the mAb's heavy chain, and the band at ~25 kDa corresponds to the light chain. For



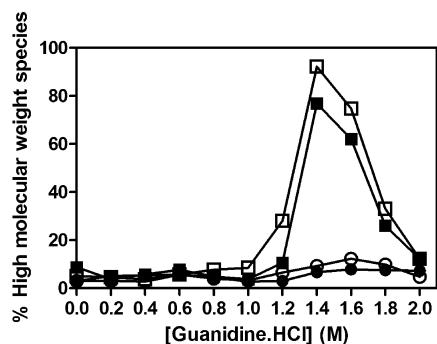
**Figure 4.** (A) Percent soluble protein recovery as determined by size-exclusion chromatography (SEC) relative to day 0. (B) Percent high-molecular-weight species observed using SEC relative to day 0. Open circles represent day 1 samples, closed squares represent day 6 samples, and open squares represent day 11 samples. Data points represent the mean  $\pm$  SD for triplicate samples. Error bars for certain data points are smaller than their associated symbols.



**Figure 5.** Average size of soluble protein as observed using dynamic light scattering (DLS). Closed circles represent day 0 samples, open circles represent day 1 samples, closed squares represent day 6 samples, and open squares represent day 11 samples. Data points represent the mean  $\pm$  SD for triplicate samples. Error bars for certain data points are smaller than their associated symbols.



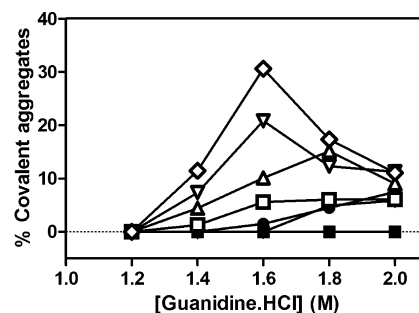
**Figure 7.** Mean residue ellipticity at 218 nm for incubated samples. Closed circles represent day 0 samples, open circles represent day 1 samples, closed squares represent day 6 samples, and open squares represent day 11 samples. Data points represent the mean  $\pm$  SD for triplicate samples. Error bars for certain data points are smaller than their associated symbols.



**Figure 6.** Percent aggregates as observed using analytical ultracentrifugation (AUC). Closed circles represent day 0 samples, open circles represent day 1 samples, closed squares represent day 6 samples, and open squares represent day 11 samples.

samples digested by proteinase K on day 0, the intensity of the band for the heavy chain decreased with increasing concentration of GdnHCl, and the band disappeared entirely in samples containing 1.0–2.0 M. In contrast, the presence of GdnHCl across the entire range tested (0–2.0 M) did not appear to affect the intensity of the band for the light chain. For day 1 samples, the heavy chain band exhibited a similar trend, but in samples containing 1.6–2.0 M GdnHCl, the light chain band was greatly diminished.

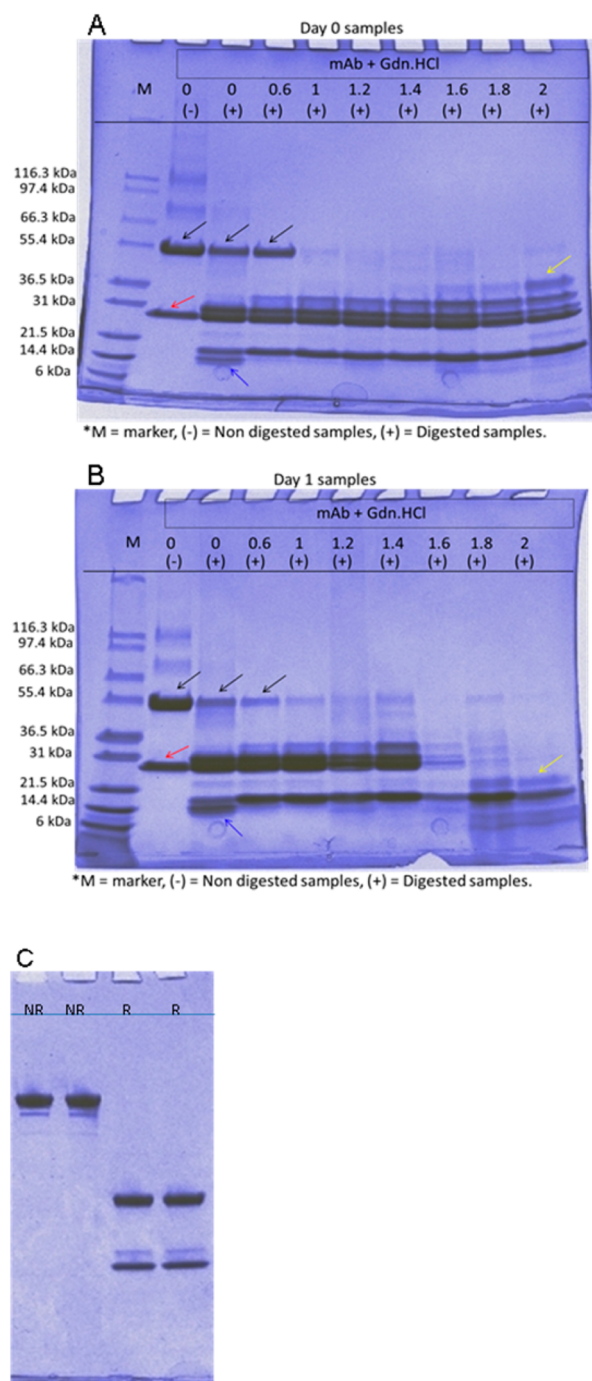
It should be pointed out that TCA precipitation of the mAb in the absence of GdnHCl or proteinase K led to formation of



**Figure 8.** Percent covalent aggregates for mAb as a function of GdnHCl concentration. Solid squares represent day 0 samples, solid triangles represent day 0.5 samples, solid inverted triangles represent day 1 samples, solid diamonds represent day 1.5 samples, solid circles represent day 2 samples, open squares represent day 3 samples, open triangles represent day 4 samples, open inverted triangles represent day 6 samples, and open diamonds represent day 11 samples.

covalent aggregates (lane 2). In contrast, no such aggregates were observed when the protein was analyzed by SDS-PAGE in the absence of TCA precipitation (Figure 9C).

**Hydrodynamic Behavior of Protein in 0–2.0 M GdnHCl.** Sedimentation velocity analytical ultracentrifugation (SV-AUC) was used to determine sedimentation coefficients corrected for standard conditions ( $s_{20,w}$ ) for the mAb in 0–2.0 M GdnHCl (Table 1). In 0 M GdnHCl, the mAb had a sedimentation coefficient of 7.3 S both on day 0 and day 1. The value of



**Figure 9.** SDS-PAGE for day 0 (A) and day 1 (B) samples following proteinase K digestion. M represents molecular weight standards, and the number corresponds to a particular concentration of GdnHCl. Samples marked “+” are digested samples and “–” are the nondigested samples. (C) mAb samples in nonreduced (NR) and reduced (R) forms without TCA precipitation.

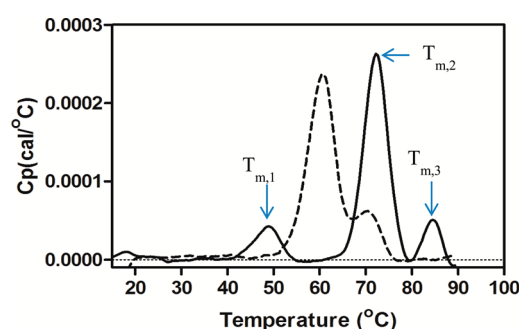
sedimentation coefficient decreased with increasing concentrations of GdnHCl. This trend is apparent on day 0 as well as day 1.

**DSC To Observe mAb Domain Unfolding Transitions.** DSC analysis was used to obtain information about domain unfolding in 0–2.0 M GdnHCl solutions. The native protein showed three thermal unfolding transitions ( $T_m$ ) (Figure 10). The first transition ( $T_{m,1}$ ) observed at around 48.9 °C can be attributed to unfolding of the  $C_H2$  domain.<sup>76</sup> The second and

**Table 1. Sedimentation Coefficient for a Monomer of mAb as a Function of GdnHCl on Days 0 and 1 of Incubation<sup>a</sup>**

[GdnHCl]	$s_{20,w}$ (day 0)	$s_{20,w}$ (day 1)
0	7.3	7.3
0.2	6.3	6.3
0.4	6.1	6.1
0.6	6.0	6.0
0.8	5.7	5.7
1	5.5	5.5
1.2	5.3	5.3
1.4	5.1	4.9
1.6	4.9	4.6
1.8	4.8	4.6
2	4.6	4.3

<sup>a</sup>Note: hydrodynamic size of mAb is time-dependent at higher concentrations of GdnHCl.



**Figure 10.** DSC thermograms for native mAb (solid black line) and mAb in the presence of 1.4 M GdnHCl (dashed black line). The native mAb shows three transitions marked as  $T_{m,1}$ ,  $T_{m,2}$ , and  $T_{m,3}$ .

third transitions ( $T_{m,2}$  and  $T_{m,3}$ ) observed at around 72.1 and 84.5 °C can be attributed to the  $F_{ab}$  region and  $C_H3$  regions of the mAb, respectively.<sup>76</sup> In 1.4 M GdnHCl, the first transition ( $T_{m,1}$ ) was not detected, suggesting that the  $C_H2$  domain was unfolded prior to heating. Also, the other two transitions ( $T_{m,2}$  and  $T_{m,3}$ ) shifted to lower temperatures, indicating that the presence of 1.4 M GdnHCl reduced the stability of the  $C_H3$  and Fab domains.

In solutions containing 0–2.0 M GdnHCl,  $T_{m,2}$  and  $T_{m,3}$  values decreased with increasing concentrations of GdnHCl. For  $T_{m,1}$ , a decrease was observed from 0 to 1.0 M GdnHCl, but no transition could be detected in 1.2–2.0 M GdnHCl.

## DISCUSSION

**Partially Unfolded Protein Species as a Constituent of Protein Ensemble.** Hydrogen–deuterium exchange and NMR relaxation studies have revealed significant conformational heterogeneity of protein molecules under native-state conditions.<sup>27,77,78</sup> The ensemble of native protein molecules has access to diverse conformations, which may be critical for biological function.<sup>79</sup> Also included in the native-state ensemble may be partially unfolded protein species that are prone to aggregation.<sup>77,79</sup>

The levels of these partially unfolded states (and hence the resulting rates of aggregation) can be modified within the native-state ensemble by changes in the solution conditions. For example, the presence of low concentrations of GdnHCl results in accumulation of partially unfolded protein molecules<sup>34,51,52</sup> that tend to aggregate.<sup>53</sup> We found that the large, multidomain mAb became partially unfolded with unfolding of



the C<sub>H2</sub> domain at low concentrations of GdnHCl, which in turn resulted in aggregation.

**Conformational and Colloidal Instability Govern Protein Aggregation.** Protein aggregation can be controlled by both the conformational state of a protein and the energetics of protein–protein intermolecular interactions.<sup>6,58</sup> Early studies of protein aggregation focused on the role of protein conformation in the aggregation process.<sup>13,15,35</sup> Many reports<sup>6,18</sup> have shown that protein aggregation proceeds via assembly of partially unfolded protein molecules. These species can be populated under a variety of conditions, including elevated temperatures, pH extremes, exposure to interfaces, presence of low levels of chemical denaturants, and freeze–thawing.<sup>13,30,80–87</sup>

For large proteins that have multiple domains, the analysis of overall protein stability is a complicated process because each individual domain contributes to stability. It has been suggested that the stability of the least stable protein domain will dominate the conformational stability of a protein toward aggregation.<sup>40</sup> Also, the reversibility of unfolded regions of a multidomain protein may be unfavorable, often leading to aggregation.<sup>88</sup> Furthermore, the overall protein structure is stabilized by both inter- and intradomain interactions.<sup>89,90</sup>

The mAb investigated in the current study consists of 12 domains. Because partially unfolded molecules of this protein are involved in aggregation, we expected that the least stable domain will unfold at lower concentrations of GdnHCl than other domains and lead to aggregation. It has been shown that mutations in this particular mAb reduced only the stability of the C<sub>H2</sub> domain and led to increased aggregation compared to the that of the wild-type protein.<sup>76</sup> Similarly, in our DSC study, we observed that the unfolding transition corresponding to the C<sub>H2</sub> domain was not detected for protein in 1.2 M and higher concentrations of GdnHCl (Figure 10 and Table 2). Thus, it

**Table 2. Thermal Unfolding Transitions ( $T_m$ ) for mAb in Sub-Denaturing Concentrations of GdnHCl as Measured by DSC<sup>a</sup>**

[GdnHCl]	$T_{m,1}$ (°C)	$T_{m,2}$ (°C)	$T_{m,3}$ (°C)
0	48.6 ± 0.2	72.1 ± 0.0	84.2 ± 0.2
0.2	49.0 ± 0.2	73.2 ± 0.3	79.1 ± 0.7
0.4	46.1 ± 0.4	75.1 ± 0.3	80.5 ± 0.6
0.6	42.1 ± 0.3	67.4 ± 0.4	73.5 ± 0.6
0.8	37.9 ± 0.2	66.3 ± 0.3	74.4 ± 0.2
1	35.0 ± 3.9	64.7 ± 0.4	73.9 ± 0.6
1.2	ND	62.5 ± 0.1	71.9 ± 0.2
1.4	ND	61.0 ± 0.2	71.0 ± 0.9
1.6	ND	59.2 ± 0.2	68.8 ± 0.7
1.8	ND	57.1 ± 0.1	66.7 ± 0.5
2	ND	54.9 ± 0.2	65.6 ± 0.6

<sup>a</sup>ND signifies that the transition ( $T_{m,1}$ ) was not observed for that particular sample. Each number represents the mean transition temperature ( $T_m$ ) and the standard deviation of triplicate measurements.

can be suggested that unfolding of the C<sub>H2</sub> domain of the mAb resulted in partially unfolded species for this protein, which in turn were prone to aggregation. Other studies carried out on mAb have also reported that the C<sub>H2</sub> domain can play a causal role in aggregation.<sup>38,39,81,91</sup>

We also found that the S value obtained from sedimentation velocity experiments was inversely proportional to hydro-

dynamic radius of the protein. As the concentration of denaturant increased, the S value became smaller, signifying a larger hydrodynamic radius of the protein (Table 1). We also observe that the S value for protein in 1.2–1.6 M GdnHCl is intermediate for that of native protein and that observed in 2.0 M GdnHCl. This observation further supports the conclusion that protein in 1.2–1.6 M GdnHCl was partially unfolded.

Partially unfolded protein species have structurally perturbed regions that are more susceptible to proteolytic cleavage than the structured regions.<sup>92</sup> Studies have shown that proteolytic enzymes can be used as probes of the structure and dynamics of partially folded states of protein and that the residual native structure of the protein molecule can be sufficient to prevent extensive proteolysis.<sup>93</sup> Some reports have depicted that altering solution conditions to increase the population of unfolded molecules results in increased proteolysis.<sup>68</sup> For example, Latypov et al. showed using proteinase K that in 4 M urea, IL-1ra was resistant to proteolysis and only 25% molecules were digested.<sup>69</sup> However, 90% of IL-1ra molecules were digested in 5 M urea.<sup>69</sup> We found that the mAb was more susceptible to proteolysis in 2 M GdnHCl (Figure 9B) than in buffer alone because in the denaturant the protein molecules were mostly present in an unfolded state. However, the susceptibility of partially unfolded species in 1.2–1.6 M GdnHCl to proteolysis was intermediate to that of the folded and unfolded states. Overall, the heavy chain was more susceptible to proteolysis than the light chain of this mAb, as observed from Figure 9. For samples digested by proteinase K on day 0, the intensity of the band for the heavy chain decreased with increasing concentration of GdnHCl, and the band disappeared entirely in samples containing 1.0–2.0 M. In contrast, the presence of GdnHCl across the entire range tested (0–2.0 M) did not appear to affect the intensity of the band for the light chain. A similar trend was observed for day 1 samples as well, with the light chain getting digested further for samples containing 1.6–2.0 M GdnHCl. This trend indicates unfolding of protein in higher concentrations of GdnHCl.

Colloidal instability relates to intermolecular interactions leading to aggregation. Under solution conditions where protein molecules experience net attractive interaction energies, assembly into aggregated species is favored. Under these conditions, there may or may not be an associated change in protein conformation.<sup>6</sup> Earlier studies have shown that protein–protein interactions are most attractive at low concentrations of GdnHCl.<sup>94,95</sup> For some proteins, colloidal instabilities control rates of aggregation.<sup>58</sup> In our study, we found that colloidal stability was not a dominating factor in the overall process of aggregation. Despite  $b_2^*$  being negative for protein in 0–2.0 M GdnHCl, no aggregates were observed for mAb samples incubated in 0–1.0 M GdnHCl (Figure 3). Aggregates were only observed for protein in 1.2 M and higher concentrations of GdnHCl, where tertiary structure was perturbed (Figure 2), and led to C<sub>H2</sub> domain unfolding (Figure 10). Thus, with this particular mAb, conformational perturbations caused by GdnHCl that lead to aggregation do not also cause a change in colloidal stability. Thus, conformation stability is dominant over colloidal stability in governing the aggregation process for the mAb.

**Characterization of mAb Aggregates.** An increased level of intermolecular  $\beta$ -sheet is a common feature of protein aggregates.<sup>13</sup> The structural transition of native protein to form non-native intermolecular  $\beta$ -sheet structures can occur regardless of the initial secondary structural composition.<sup>6</sup>



For instance, aggregation of protein molecules like rhIFN- $\gamma$  and prion proteins results in intermolecular  $\beta$ -sheet structure concomitant with a significant loss of  $\alpha$ -helix.<sup>96,97</sup> Although secondary structure of native mAb molecules is about 70%  $\beta$ -sheet,<sup>89</sup> aggregation of the mAb used in this study (Figure 7) and earlier research resulted in an increase in  $\beta$ -sheet content.<sup>98</sup> The high  $\beta$ -sheet content may also reflect the retention of intact, native-like domains of the mAb molecules within the aggregate.<sup>43,99</sup> Overall, it appears that the aggregation-prone, partially unfolded molecules retained native-like  $\beta$ -sheet secondary structure based on CD spectroscopy (Figure 2A) despite having an unfolded C<sub>H2</sub> domain. In addition, upon aggregate formation there was an increase in non-native  $\beta$ -sheet because of additional structural perturbation as a result of aggregation.

We also investigated the potential for the aggregates of the mAb to have covalent intermolecular contacts. We found that there were no free thiols in this mAb under different concentrations of GdnHCl. However, upon further incubation, intermolecular disulfide bonds were formed, making the aggregates irreversible. These results suggest that in addition to structural perturbation occurring initially upon aggregate formation, there are structural changes in the mAb molecules in the aggregate that allow non-native intermolecular disulfide bonds to form. Such formation of covalent links between protein molecules in aggregates has been observed for other proteins.<sup>100–102</sup>

## CONCLUSIONS

We observed that the  $b_2^*$  values were negative for the mAb molecules over the entire range of 0–2 M GdnHCl. However, aggregation was only observed for samples at 1.2 M and higher concentrations of GdnHCl. Therefore, colloidal stability does not control aggregation of this mAb. Rather, at 1.2 M and higher concentrations of the chaotrope, the C<sub>H2</sub> domains of the mAb were unfolded, resulting in a population of partially unfolded, aggregation-prone protein molecules. Thus, for this mAb, conformational perturbation predominately controlled the rates of aggregation.

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### Notes

The authors declare no competing financial interest.

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